

PARTICLE PRECIPITATION INDUCED BY SHORT-DURATION VLF WAVES IN THE MAGNETOSPHERE

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**Abstract.** An extension of a previous test particle simulation model (Inan et al., 1978) of the gyroresonance wave-particle interaction in the magnetosphere is used to compute the detailed time variation of the precipitated energy flux induced by monochromatic short-duration VLF waves. The resulting precipitation pulse is found to have a characteristic shape dependent on the L value, cold plasma density, wave frequency, and duration, as well as the energetic particle distribution function. The role of these variables in determining the temporal variation and the magnitude of the precipitated flux is discussed for a wide range of typical magnetospheric parameters. As an example, a 400-m s wave pulse with a frequency of 6.825 kHz (equatorial half-gyrofrequency) at  $L = 4$  and for a cold plasma density of 400 e1/cc produces a 3.5-s long precipitation pulse as observed at 1000 km, with the flux reaching its peak value at approximately 3.8 s after the injection of the wave at the same point. Our findings indicate that if the predicted temporal variations can be observed, the results may be used to diagnose some of the details of the energetic particle distribution in the magnetosphere. The magnitude of the precipitated flux is a function of the trapped particle distribution. For example, for typical trapped electron distribution interacting with a 5 kHz wave of 1 pT intensity at  $L = 4$  the peak precipitated energy flux is found to be  $5 \times 10^{-3}$  ergs/cm<sup>2</sup> s. The predicted fluxes for typical parameters are  $10^2$ - $10^3$  times larger than typical background precipitation levels at these latitudes and would be detectable with presently available instruments.

1. Introduction

The purpose of this paper is to study the precipitation of energetic electrons into the ionosphere due to the interaction of these particles with short-duration VLF waves in the magnetosphere. The time variation of the induced flux is computed for various wave frequencies, L values, pulse durations, and trapped energetic particle distribution functions.

Precipitation of the radiation belt particles is but one result of the gyroresonance interactions in the magnetosphere between energetic particles and whistler-mode waves. The waves involved in these interactions are (1) natural whistlers and chorus emissions, and (2) signals from ground-based sources, such as VLF transmitters and large-scale power grids, and emissions triggered by these signals. An important goal of the ongoing VLF wave-injection experiments carried out with the Siple Station VLF transmitter in the Antarctic [Helliwell and Katsufurakis, 1974] is to learn

how to control and precipitate the energetic particles by injected waves. These experiments and others have been highly successful in identifying the complex response of the magnetosphere to the injection of coherent VLF signals [Helliwell, 1974; Carpenter and Miller, 1976; Inan et al., 1977; Helliwell, 1977; Raghuram et al., 1977; Helliwell and Katsufurakis, 1978; Dowden et al., 1978; Chang and Helliwell, 1979; Helliwell et al., 1980b; Bell et al., 1981; Park, 1981; Tsuruda et al., 1982]. Particle precipitation induced by natural VLF/ULF waves (whistlers, chorus emissions, noise bursts) has been observed on numerous occasions [Rosenberg et al., 1971a; Helliwell et al., 1973; Helliwell et al., 1980a; Mende et al., 1980; Rosenberg et al., 1981; Dingle and Carpenter, 1981]. While direct correlation between manmade waves and precipitated particles has not yet been observed, there is evidence that observed narrow peaks in the energy spectra of precipitating electrons are due to interactions with VLF transmitter signals [Koons et al., 1981; Imhof et al., 1981]. Work is currently underway on detecting precipitation induced by manmade VLF waves: this work includes particle detectors on the Dynamics Explorer satellites [Hoffman, 1981]; HF ionosonde measurements of precipitation-induced density enhancements at Siple, South Pole, and Roberval Stations; photometer, riometer, and micropulsation measurements at Siple and/or Roberval Stations; and particle measurements on the SEEP satellite [Lockheed Report, 1979]. The results presented in this paper should provide a theoretical basis for these experiments.

For our analysis, we have employed an extension of a previous test particle simulation of the cyclotron resonance wave-particle interaction in the magnetosphere [Inan et al., 1978], wherein the wave-induced perturbations of the particle population are calculated by computing the full nonlinear trajectories of a large number of test particles in an inhomogeneous magnetosphere. Much of the background and justification for the approach used was given by Inan et al. [1978] and will not be repeated here. Relevant references for past work on scattering include Dungey [1963, 1964], Kennel and Petschek [1966], Roberts [1966, 1968, 1969], Gendrin [1968, 1974], Kennel [1969], Das [1971], Ashour-Abdalla [1972], Schulz and Lanzerotti [1973], Imhof et al. [1974], Lyons [1973, 1974a,b], and Vampola and Kuck [1978]. It suffices to point out that the approach used is required for simulating the particle perturbations induced by a coherent wave, which are fundamentally different from those produced by incoherent signals such as plasmaspheric hiss. In the latter case the particles execute a random walk in the velocity space [Roberts, 1966], whereas in the former the individual particles can undergo large pitch angle changes by resonating with the coherent wave over distances of many hundred wavelengths [Inan et al., 1978].

While the previously reported work considered

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the steady-state case of the interaction of a continuous VLF wave (CW) with the particle distribution, our present work deals with the temporal variation of the flux precipitated as a result of the interaction of short-duration VLF waves with the particles. During its propagation between the two hemispheres the VLF wave pulse interacts with particles of different energy and pitch angle as determined by the gyroresonance condition given below. The precipitated flux thus consists of particles that have encountered and interacted with the wave at different locations along the field line and that therefore arrive at the ionosphere at different times. Our computations take into account not only the full nonlinear interaction of all test particles with the wave but also account for the wave group travel time and the particle travel times. The results are given as precipitated energy flux as a function of time after the injection of the wave into the magnetosphere. The energy of the downcoming particles is also given as a function of time since this determines the ionospheric altitude at which the flux is deposited [Rees, 1963; Walt et al., 1969; Banks et al., 1974] and is therefore important for assessing the detectability of the computed fluxes by using ground-based instruments.

## 2. Physics of the Interaction

### 2.1 The Wave Structure

We consider a VLF wave pulse of finite duration injected into the magnetosphere at time  $t=0$ . The pulse duration considered is typically 10-1000 wave periods, so that the pulse can be treated as an approximately monochromatic signal. The energy is assumed to propagate in a whistler-mode duct in the magnetosphere as a circularly polarized wave with the vector  $\mathbf{k} \parallel \mathbf{B}_0$ , where  $\mathbf{B}_0$  is the static magnetic field. In the slowly varying magnetospheric medium the wave magnetic field intensity changes due to the changing refractive index. Also for ducted wave propagation, as is assumed here, the cross-sectional area of the duct varies along the field line, being inversely proportional to the static magnetic field intensity. If the whistler mode wave is assumed to be the superposition of a large number of rays that fill up the duct, then the intensity of the VLF wave will also vary as the duct cross-section changes. Both of these effects are taken into account in our formulation. The wave magnetic field can thus be expressed as

$$\bar{\mathbf{B}}_w = B_{weq} \left( \frac{\omega_H k}{\omega_{Heq} k_{eq}} \right)^{1/2} [\bar{\mathbf{a}}_x \cos(\omega t - \int_0^z k dz) + \bar{\mathbf{a}}_y \sin(\omega t - \int_0^z k dz)] \quad (1)$$

where  $z$  is the coordinate along  $\bar{\mathbf{B}}_0$ ,  $\omega$  is the angular frequency,  $k$  is the wave number, and  $\bar{\mathbf{a}}_x$  and  $\bar{\mathbf{a}}_y$  are the unit vectors in the  $x$  and  $y$  directions, and  $B_{weq}$ ,  $\omega_{Heq}$  and  $k_{eq}$  represent equatorial values. For whistler mode propagation along the static magnetic field in a cold magnetoplasma,  $k$  is given approximately by

$$k = (\omega_p/c) [\omega/(\omega_H - \omega)]^{1/2} \quad (2)$$

where  $c$  is the speed of light,  $\omega_p$  is the electron plasma frequency, and  $\omega_H$  is the electron gyrofrequency. In this expression it has been assumed that  $(\omega_p/\omega_H)^2 \gg 1$ .

For the background magnetoplasma, we have considered the case of a centered dipole model of the earth's magnetic field and for most of our calculations a diffusive equilibrium model of the cold plasma distribution. A collisionless model for the cold plasma distribution is used for the results shown in Figure 19.

For our calculations of the particle scattering, we have not directly included the effects on the wave of the electromagnetic fields generated by the perturbed particles. This amounts to assuming either that the currents stimulated in the energetic particle population do not lead to significant damping or amplification of the wave or that this effect has been included in the model chosen for the wave structure. We assume, in other words, that the wave field is known as a function of space and time. Justification for this assumption for the purpose of computing the precipitated flux is given in earlier work [Inan et al., 1978; Bell and Inan, 1981]. Experimental and theoretical evidence suggest that the region of temporal growth is within a few degrees of the geomagnetic equator [Helliwell, 1967; Helliwell and Katsufurakis, 1974; Tsurutani and Smith, 1974]. Any change in the wave amplitude structure will thus occur over a relatively small portion of the field line. The effect of such a nonuniform amplitude variation on the total precipitated flux will be negligible. This point is further discussed in the summary and conclusions section.

In comparing the precipitated fluxes for waves at different frequencies we have assumed that each wave is injected into the magnetosphere at 1000-km altitude with the same power level. Since the medium is dispersive, this results in different wave intensities at the equator for different frequencies. For the case of propagation with  $\mathbf{k} \parallel \mathbf{B}_0$ , the relation between the local power density  $P$  and wave intensity is

$$B_w = (\mu \mu_0 / c)^{1/2} P^{1/2} \quad (3)$$

where  $\mu$  is the refractive index and  $\mu_0$  is the magnetic permeability of free space.

### 2.2 The Energetic Particle Distribution

The energetic particle population in the flux tube is represented by an equatorial distribution function  $f(v, \alpha)$ , where  $v$  and  $\alpha$  are equatorial values. In a dipole field the distribution of particles at any other point along the field line can easily be inferred from this [Roederer, 1970]. We assume that the initial distribution of particles that interact with the wave remains the same as the wave travels between the two hemispheres. This should be a good assumption, since the particles that resonate with the whistler mode wave travel in the opposing direction; those that are perturbed by the wave do not come back to the equator before a time equal to their bounce period.

The initial unperturbed particle distribution is taken to be of the form

$$f(v, \alpha) = \begin{cases} Av^{-n} g(\alpha) & \alpha \geq \alpha^{lc} \\ 0 & \alpha < \alpha^{lc} \end{cases} \quad (4)$$

where  $A$  is a constant,  $n$  is an exponent that can be adjusted to produce agreement with observed distributions,  $g(\alpha)$  is some function of pitch angle as may be required for anisotropic distributions, and  $\alpha^{lc}$  is the angular halfwidth of the loss cone. The equatorial distribution function can also be written in terms of the differential energy spectrum at a given energy pitch angle, thus making comparisons with observed distributions easier. Thus for  $\alpha \geq \alpha^{lc}$

$$f(v, \alpha) = \frac{m^2}{2E} \left[ \frac{2E}{m} \right]^{n/2} \Phi_E v^{-n} g(\alpha) \quad (5)$$

where  $\Phi_E$  is the differential energy spectrum in  $e1/cm^2$  s srkeV of electrons with energy  $E$  (keV) and pitch angle  $\alpha = 90^\circ$ , and  $m$  is the rest mass of the electron. The full distribution of particles is simulated in our model by a large number of test particles. Once the trajectories of the test particles in the wave are computed, the perturbed distribution is obtained. The calculation of the precipitated energy flux from the perturbed distribution is carried out in the same way as reported by Inan et al. [1978]. This procedure basically involves the integration of the quantity  $\frac{1}{2} mv^2 v_{||} f(v, \alpha)$  over energy and pitch angle within the loss cone.

### 2.3. The Wave-Particle Interaction

The gyroresonant interaction between the wave and the particles is depicted in the upper left panel of Figure 1. The wave is injected into the medium at 1000-km altitude at  $t=0$ . As it propagates up the field line it interacts with particles for which the resonance condition

$$\omega - \vec{k} \cdot \vec{v} \approx \omega_H \quad (6)$$

is locally satisfied. Cumulative energy exchange between the wave and particle can occur only if (6) is satisfied for an extended time period.

Since  $\omega_H$  must exceed  $\omega$  for the whistler mode, (6) is satisfied for electrons that travel in a direction opposite to that of the wave. Hence a new distribution of particles continually enters the wave packet as time progresses. As an example at a time  $\tau = \tau_1$ , for the wave packet position shown, a distribution of particles in  $v_{||}$  and  $\alpha$  encounter the wave front. This is depicted in the right-hand side panel of Figure 1. Of these particles only those corresponding to the shaded area are expected to contribute to the precipitated flux. The  $\alpha_{min}$  is determined by the loss cone, whereas  $\alpha_{max}$  is determined by the maximum individual particle scattering for the given wave and at that point. The  $v_{||min}$  and  $v_{||max}$  are determined by the equatorial parallel velocity of particles that would go through a resonance with the wave at some time after  $\tau = \tau_1$ . These then are dependent on the position of the wave front, pulse duration (position of the wave tail), group velocity, and the particle travel time. The shaded area shown in Figure 1 is automatically

determined by the computer program, based on an input criterion. Usually the criterion is that all test particles that would be scattered in pitch angle by more than a specified amount be considered. A typical value used below would be  $\Delta\alpha > 0.1^\circ$  scattering for a 5 pT wave intensity.

To simulate the interaction of this group of particles that encounter the wave front at  $\tau = \tau_1$ , we represent the population in each mesh point with a number of test particles distributed in Larmor phase. Details of such representation and justification are given in the work of Inan et al. [1978]. The test particles are then input to the wave packet at  $\tau = \tau_1$ , with initial pitch angle of  $\alpha^0$  and parallel velocity of  $v_{||}^0$ . The effect of the wave on the particle motion is given by the Lorentz force equation

$$d\vec{v}/dt = -(e/m) [\vec{E}_w + \vec{v} \times (\vec{B}_w + \vec{B}_0)] \quad (7)$$

where  $\vec{v} = \vec{v}_{||} + \vec{v}_\perp$  is the total velocity of the particle. A component form of this equation of motion has been given by previous authors [Dysthe, 1971, and others] and is not repeated here. The equations used in our simulation are identical to those used by Inan et al. [1978].

The equations of motion are integrated for each test particle until either the time when the particle exits from the wave tail or the time when the particle moves away from gyroresonance enough so that the wave perturbations become negligible. After this computation, the perturbed distribution function is obtained. The electrons that are scattered into the loss cone have a range of parallel velocities. Consequently, they are precipitated at the upper ionosphere (1000-km altitude) at different times, at which the energy flux per unit time can be obtained. The result is the rate of energy flux per unit time versus time, as shown in the lower left panel of Figure 1. Note that this flux is that due only to those particles (a full distribution in  $v_{||}$  and  $\alpha$ ) that meet the wave front at  $\tau = \tau_1 \pm \frac{1}{2} d\tau$ . (Such a response constitutes an 'impulsive response' of the system, where the term 'impulse' in this context refers to the time of encounter of the particles and the wave front.) In the next  $d\tau$  interval (corresponding to the next position of the wave front) another full distribution of particles will encounter the wave front, their responses will be slightly different since the interaction conditions are a function of latitude. Since by assumption the energetic particle motions do not affect one another, the interaction of each of these groups of particles can be separately calculated. The resulting responses can then be summed to give the total precipitated flux as a function of time.

### 2.4. Computation of the Precipitated Flux Versus Time

The energy flux response corresponding to each new position of the wave front (or at each time step) will be different due to the inhomogeneity of the medium. Since the cold plasma density and the static magnetic field intensity both change along the field line, the energy and pitch angle range of the near-resonant particles varies as well as the strength of the wave-particle interaction [Inan et al., 1978]. As a result of

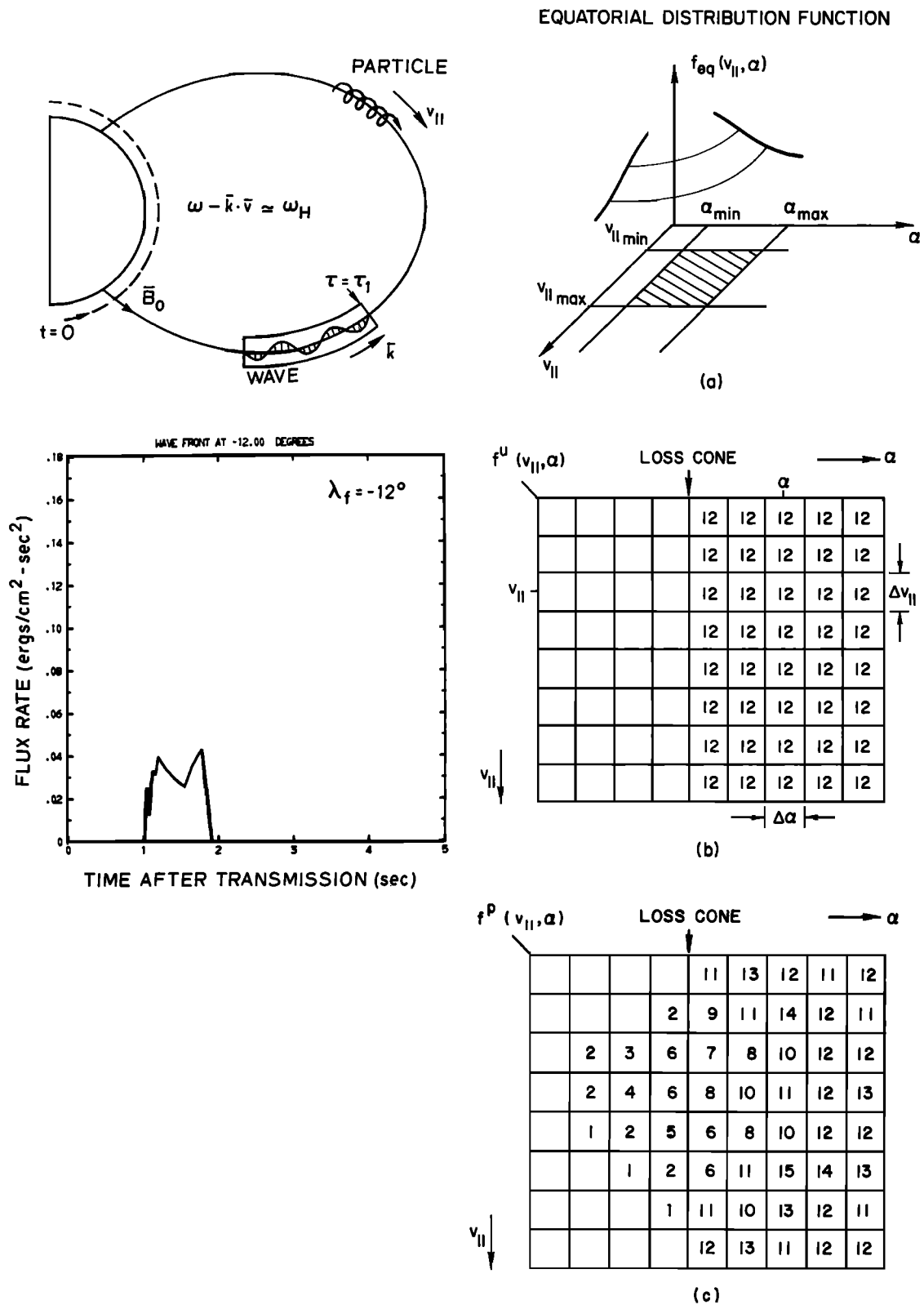


Fig. 1. Schematics describing the wave-particle interaction and the calculation of the individual responses. The response  $h(t, \tau_1)$  shown is caused by the particles that encounter the wave front at  $\tau = \tau_1$ . Note that a large number of such particles with different equatorial parallel velocities, pitch angle, and Larmor phase are considered. That is, each such response is computed by computing the perturbations of a full distribution of test particles. The width of the response is determined by the range of parallel velocities that corresponds to precipitated particles, the arrival time depends on the wave group velocity, and the particle travel time.

these, the effective pulse length (i.e., interaction length) seen by each particle is also different and must be taken into account. We express this response as  $h[t, \tau(\lambda_f)]$ , where  $\lambda_f$  is the geomagnetic latitude of the wave front and is related to the time  $\tau$  by

$$\tau(\lambda_f) = \int_{-\lambda_1}^{\lambda_f} \frac{ds}{v_g} \frac{d\lambda}{d\lambda} \quad (8)$$

where  $s$  is the distance along the field line,  $v_g(\lambda)$  is the local group velocity of the whistler mode wave, and  $\lambda_1$  is the latitude at 1000 km altitude.

Figure 2 illustrates the variation of the responses  $h(t, \tau)$  as a function of time  $\tau$  (or  $\lambda_f$ ). The top panel shows the response due to the particles that encounter the wave at  $-12^\circ$  latitude at time  $\tau = \tau_1$ . The precipitated electrons arrive at the 1000-km ionospheric altitude at  $t = 1-2$  s after the injection of the wave at the same point. The middle panel shows the response due to particles that encounter the wave front at the equator, i.e.,  $0^\circ$  latitude. The particles arrive at the ionosphere at  $t = 3.3-3.7$  s, indicating that the range of parallel velocities of particles that enter the wave at  $\tau = \tau_2$  (and are able to resonate with it) is narrower. This result is due to the fact that the variation of the cold plasma parameters and hence that of the cyclotron resonant velocity of electrons in the magnetosphere becomes smoother for lower latitudes [Inan et al., 1978]. The lower panel shows the response due to particles that encounter the wave front at  $+12^\circ$  latitude and at a later time  $\tau = \tau_3$ . The precipitated particles reach the ionosphere at  $t = 3.2-4.2$  s after transmission of the wave. The range of parallel velocities involved in this case and the one in the middle panel are about the same. This response overlaps in time with the response from  $\lambda_f = 0^\circ$  (middle panel). An effect similar to this 'arrival time convergence' effect has been previously reported [Helliwell et al., 1980a] and can be roughly described by using approximate analytical expressions for wave group velocity and the unperturbed parallel velocity of the resonant particles [Doolittle, 1982]. It results from the fact that the near-resonant electrons interacting with the wave at higher latitudes beyond the equator are at a higher energy and therefore can overtake the lower-energy electrons that are near-resonant at lower latitudes.

In our formulation we first compute the responses corresponding to a number of latitudinal positions of the wave front. (The number of steps is chosen empirically as a function of the  $L$  value and pulse length. As an example, for  $L = 4$  and a 400-m s wave pulse, latitudinal steps separated by  $4^\circ$  were taken. The criteria used were to make the latitude steps comparable to the spatial length of the pulse at the equator.) Since the medium is slowly-varying, the responses due to the in-between wave front positions can then be calculated by using linear interpolation. The total response, i.e., the total precipitated energy flux as a function of time, is then the

sum of the contributions at each time from all of the different responses. In other words,

$$r(t) = \int_0^{\infty} h(t, \tau) d\tau \quad (9)$$

where  $r(t)$  is the precipitated energy flux at 1000-km altitude as a function of time after the transmission of the wave at the same point.

A typical energy flux versus time curve, computed as described, is given in the top panel of Figure 3. Flux in ergs/cm<sup>2</sup> s is shown as a function of time after transmission for a 0.4-s long pulse of 5 pT intensity at a frequency of  $f = 6.83$  kHz propagating along the  $L = 4$  magnetic field line. The wave frequency in this case is one-half the minimum gyrofrequency along the field line. The result given is for a diffusive equilibrium model and an equatorial cold plasma density  $n_{eq} = 400$  el/cc corresponding to a plasma frequency of  $f = 180$  kHz. The energetic particle distribution is given by (4) or (5) with  $g(\alpha) = 1$  (isotropic),  $n = 6$ , and  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV for  $E = 1$  keV.

The geomagnetic latitude of the wave front ( $t_f$ ) and tail ( $t_t$ ) as a function of time is shown in Figure 4. The solid portions of these curves are the positions for which computations were carried out. The dotted portions show the times for which the wave-induced scatterings are negligible. The spatial extent of the pulse at any time is the vertical separation between the  $t_f$  and  $t_t$  curves. Note that the spatial pulse length is a minimum around the equator. Also shown on the same figure is the maximum and minimum arrival time of the precipitated particles. The latter is shown for each of the wave front latitudinal positions for which an impulse response was computed. The information in this figure can be used to determine the range of interaction latitudes that contribute to the flux at different times.

The increase in the flux after approximately  $t = 2.5$  s is due to the arrival time convergence effect mentioned above. The exact shape of this curve depends on the wave pulse length, frequency, and the particle distribution function, as will be shown below.

The lower panel in Figure 3 gives the energy range of the downcoming precipitated flux as a function of time. We have plotted the energy versus arrival time for each of the impulse responses used to compute  $r(t)$ . In this case the impulse responses were computed for wave front positions  $\lambda_f$  of between  $-20^\circ$  to  $+48^\circ$  geomagnetic latitude with steps of 4 degrees. At any time  $t$ , the energy range of the precipitated particles is thus defined by the curves given in Figure 3 (lower panel). For example, it can be seen that the flux at  $t = 4$  s consists of particles from much narrower ranges of energies (0.4 keV-0.6 keV) than that at  $t = 3$  s (0.4-5 keV).

### 3. Precipitated Flux Versus Time

In this section we discuss results obtained by applying the formulation described above to various different magnetospheric conditions.

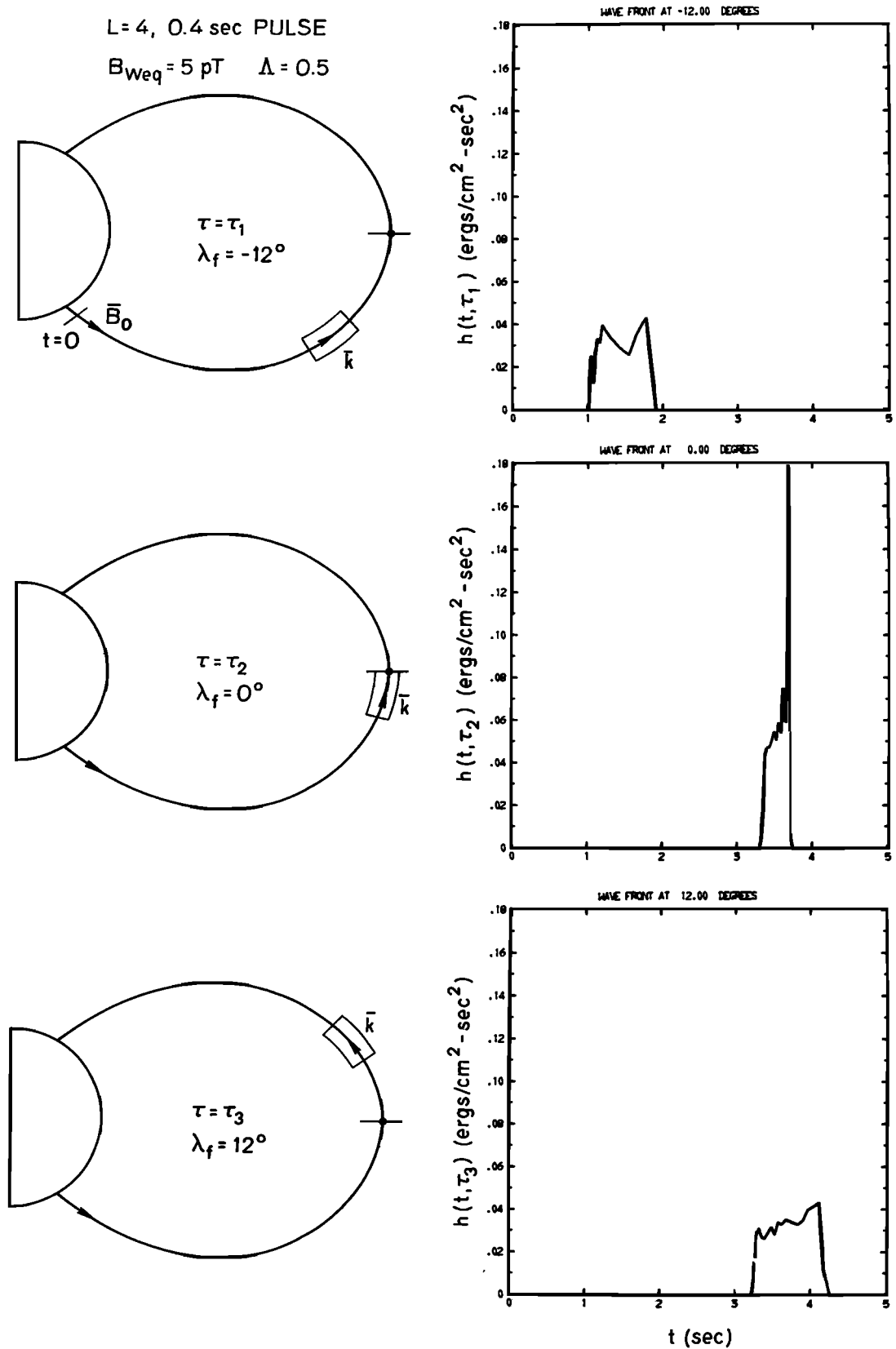


Fig. 2. The responses due to three different positions of the wave front as it propagates along the field line. The arrival time of the pulse for the bottom panel is comparable to the one above even though this response is due to those particles that meet the wave at a later time. This is due to the fact that the energies of the resonant particles are higher for the former case.

3.1. Dependence on Wave Pulse Length

Figure 5 shows the computed precipitated flux versus time due to wave pulses of 5 pT intensity (at the equator) and of different lengths PL at a normalized frequency  $\Lambda = f/f_{Heq} = 0.5$  propagating along the  $L = 4$  field line where the equatorial cold plasma density is taken to be  $n_{eq} = 400$  el/cc. The energetic particle distribution function is assumed to be as given in (5) with  $g(\alpha) = 1.0$  and  $n = 6$ . Wave pulse lengths of PL = 50 ms to 800 ms are considered. The energy ranges of the downcoming particles are shown in the right-hand column. It should be noted here that the number of latitudinal steps taken to

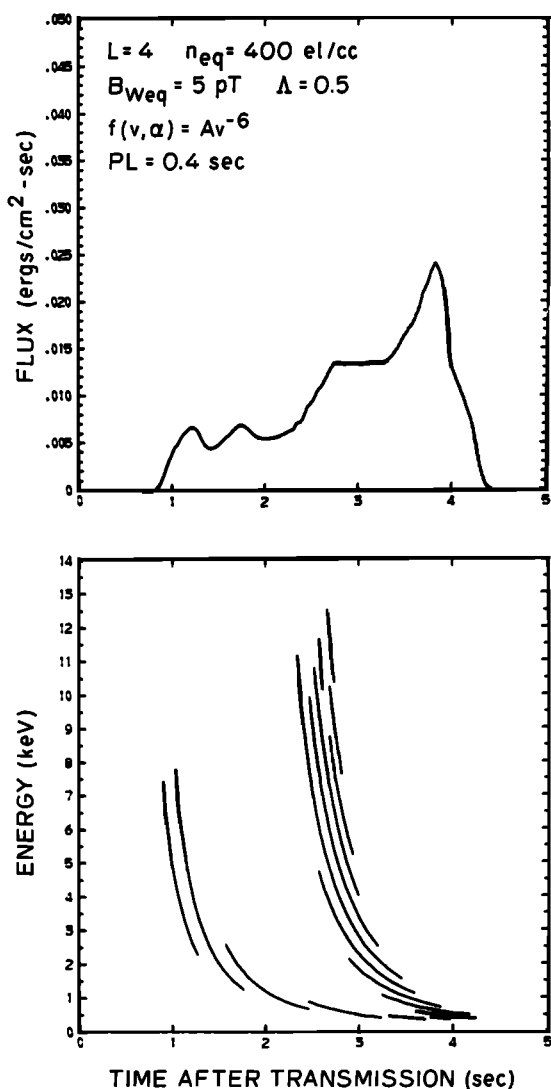


Fig. 3. The top panel shows the precipitated energy flux versus time after injection of the wave into the magnetosphere at 1000-km altitude. The bottom panel shows the energy of the particles that constitute the flux. Each separate segment shows the energy versus arrival time for one of the responses corresponding to one of the positions of the wave front. In this case the individual responses were computed for  $\lambda_f = 16^\circ$  to  $44^\circ$  with steps of  $4^\circ$ .

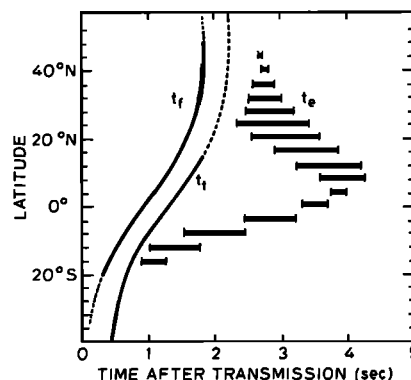


Fig. 4. The latitudinal position of the wave front ( $t_f$ ) and tail ( $t_t$ ) as a function of time is shown by the solid continuous curves. The dotted portion of these curves show the times for which the wave-induced scatterings are negligible. Thus the computations were limited to the regions corresponding to the solid lines. Also shown ( $t_e$ ) is the maximum and minimum arrival time at 1000-km altitude of electrons that meet the wave front at different latitudes. Each segment here corresponds to a wave front position for which an impulse response is computed.

compute the impulse responses were taken to be inversely proportional to pulse length.

The duration of the precipitated pulse is in the 3-4 s range, determined mainly by the wave and particle travel times on this field line, as discussed in connection with Figure 4. The important feature shown in Figure 5 is the variation of peak flux level with wave pulse length. For  $PL > 200$  ms, the peak flux begins to saturate. Further increases in pulse length result in broadening of the precipitation pulse in time and a widening of the range of particle energies that constitute the flux.

For  $PL < 200$  ms the peak flux decreases with decreasing PL. This is partly explained by the fact that the effective pulse length seen by the near-resonant particles (= half the pulse length at the equator and for  $\Lambda = 0.5$  for which case  $v_g = v_{||}$ ) becomes less than the interaction length (the 'interaction length' in this context is defined as the distance over which the particle parallel velocity stays within a few percent of the local resonance velocity.) allowed by the inhomogeneity of the medium [Inan et al., 1978]. This result implies that if controlled precipitation can be achieved, then the variation of the peak precipitated flux with PL can be used to diagnose the parameters that control this interaction length. Some of these parameters are the wave intensity, cold plasma density, and the latitudinal location of the interaction region [Inan et al., 1978; Bell and Inan, 1981].

Partly contributing to the decrease in the flux for  $PL \leq 200$  ms is the diminishing of the arrival time convergence effect, evident in a reduction of overlap of the energy-versus-time curve segments.

We have discussed the arrival time convergence effect that causes the increase in flux for  $t = 3-4$  s in connection with Figure 3. From the top right panel of Figure 5 we see that there also exists some convergence effect at  $t = 2.5-3.5$  s

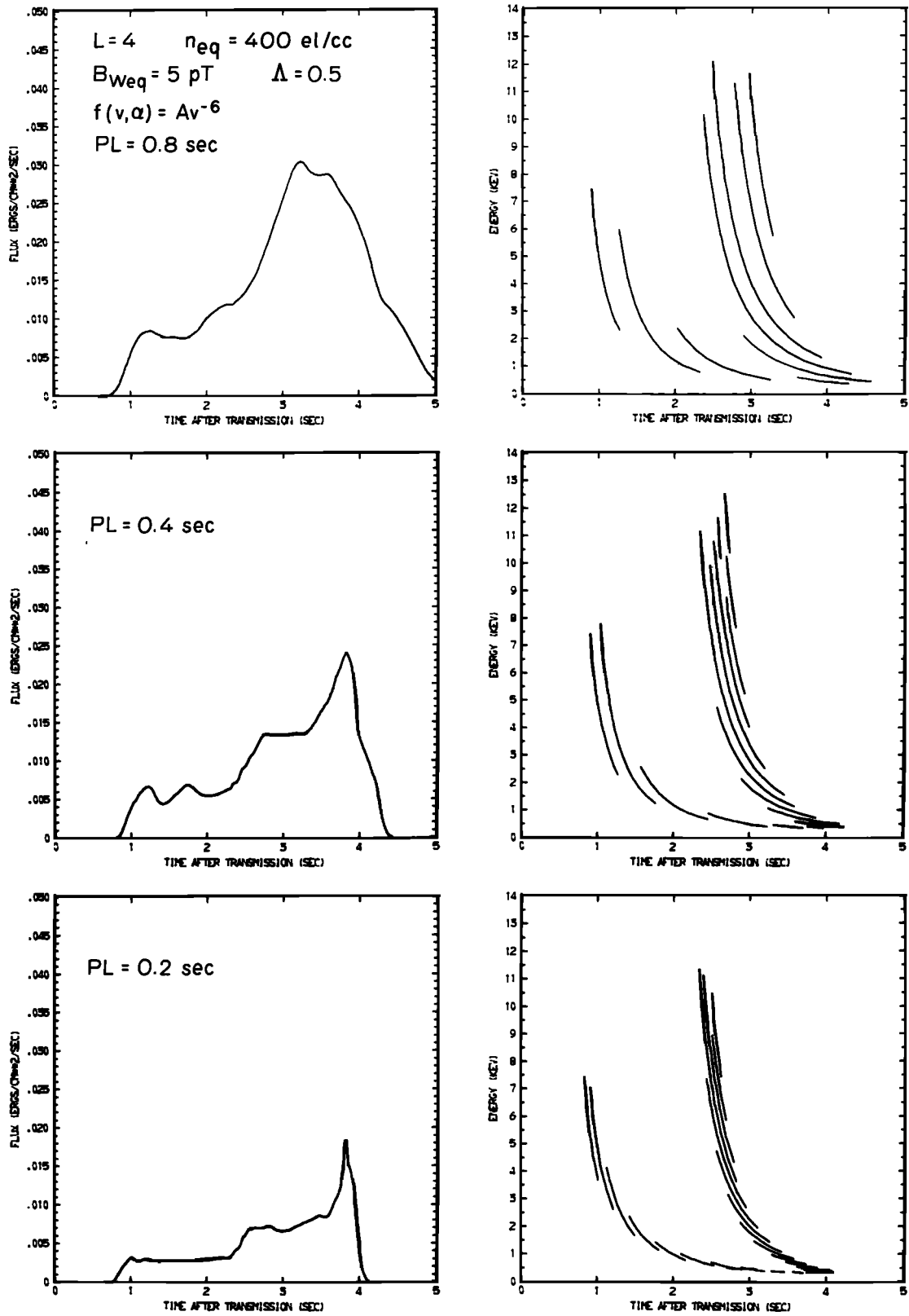


Fig. 5. Precipitated energy flux versus time and energy versus time curves for different wave pulse lengths PL.



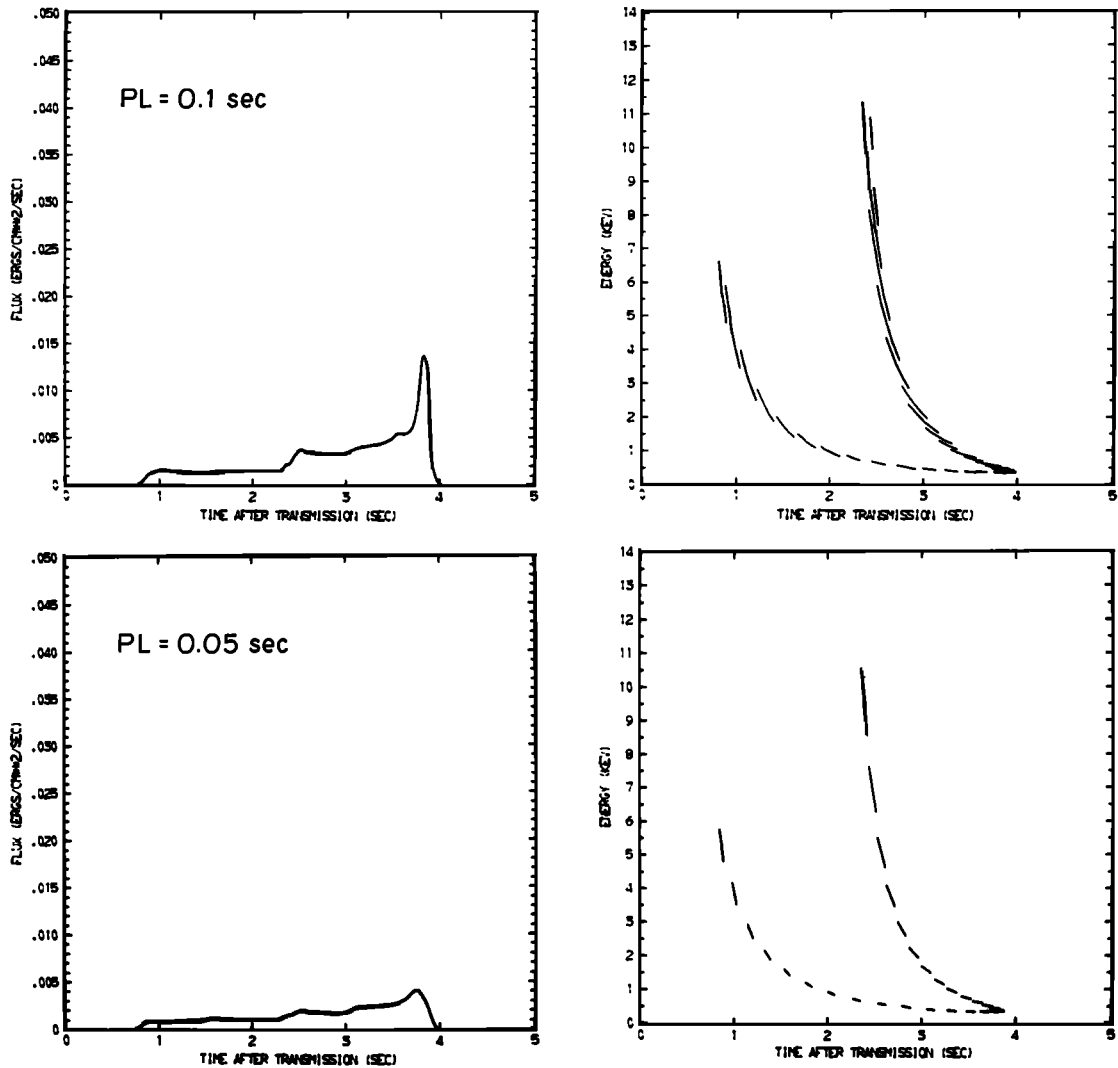


Fig. 5. (continued)

for the case of PL = 800 m s. This is also evident from the broadening of the peak in the flux versus time curve around the same time. This effect can be understood by noting that at any fixed time the 800 m s pulse occupies at least a 32° latitude range along a field line. Thus the wave tail can be interacting with higher-energy particles that resonate to the south of the magnetic equator (which therefore arrive around 2-3 s) even when the wave front is well above the equator. This effect is also visible, albeit to a lesser extent, for the 400 m s pulse.

Figure 6 shows the integrated energy density in ergs/cm<sup>2</sup> as a function of pulse length. It is evident that this quantity increases with pulse length even though the peak flux remains more or less constant. This result is expected, since the longer pulses represent more wave energy.

### 3.2. Dependence of Normalized Frequency

The results shown in Figure 5 are for a normalized frequency of  $\Lambda = 0.5$ . In Figure 7 we show the computed precipitated flux versus time for PL = 400 m s and for  $\Lambda = 0.05, 0.1, 0.25, 0.5$ ,

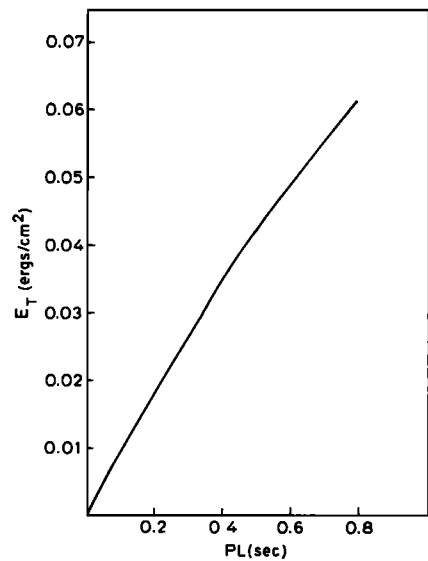


Fig. 6. Total deposited energy as a function of PL, for the cases shown in Figure 5.

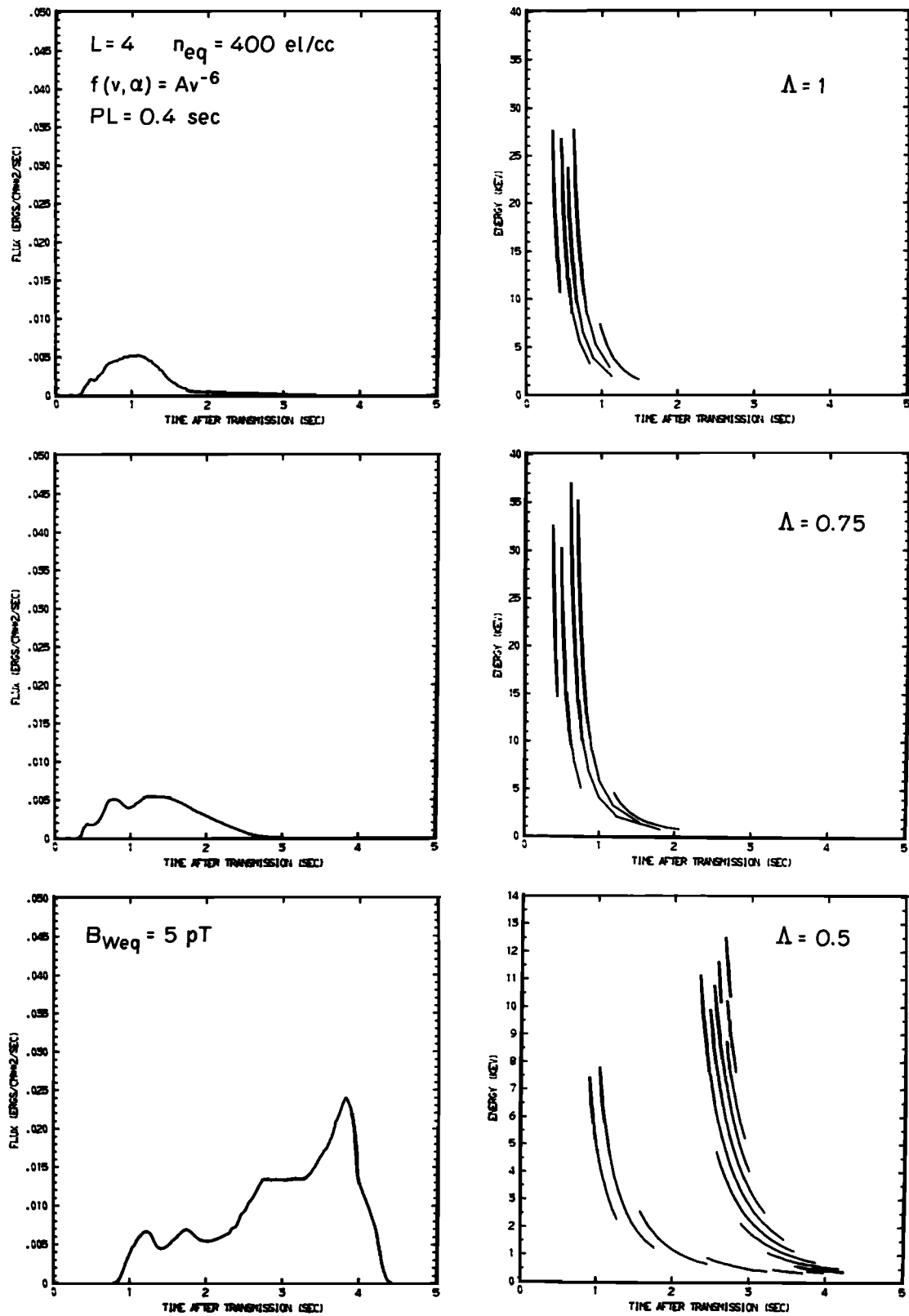


Fig. 7. Precipitated energy flux and particle energy versus time for different normalized frequencies  $\Lambda$ .

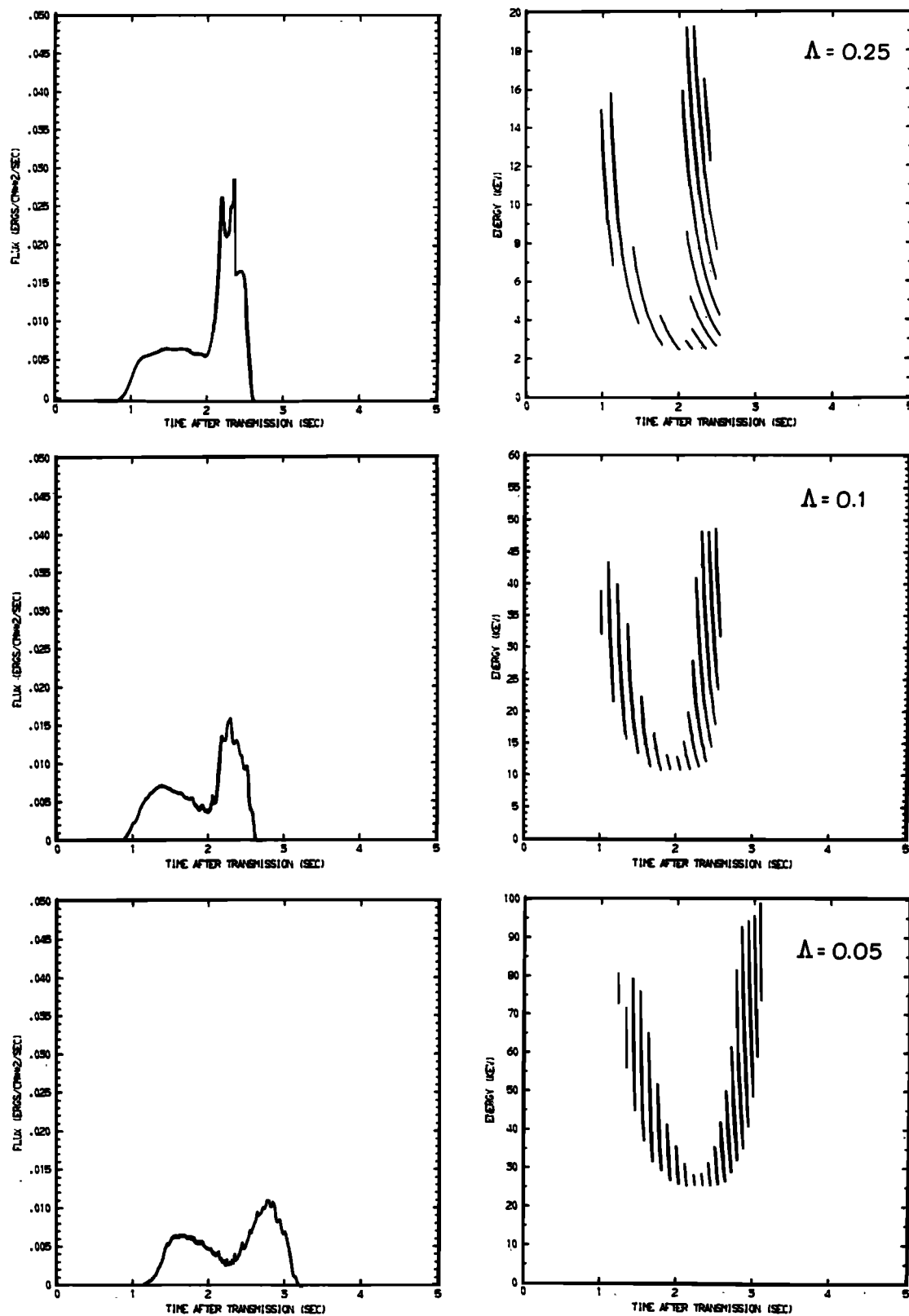


Fig. 7. (continued)

0.75, and 1.0, while the other parameters are kept the same as in Figure 5. Since we have limited our formulation to waves that propagate in whistler mode ducts of enhanced ionization [Smith et al., 1960], propagation over the magnetic equator is only possible for frequencies  $\Lambda < 0.5$  [Helliwell, 1965]. For  $\Lambda > 0.5$ , the ray paths will deviate from the magnetic field line at the point where the wave frequency becomes equal to one-half the local electron gyrofrequency. Although propagation with  $\Lambda > 0.5$  is possible in depletion ducts [Inan and Bell, 1977], we limit our discussion to the more common case of whistler mode propagation in enhancement ducts. Thus in our simulation of the wave-particle interaction for the waves with  $\Lambda > 0.5$ , we have terminated the interaction when the wave tail crosses the point where  $f = 0.5 f_H$ .

In comparing results for different normalized frequencies, the input wave power at 1000-km altitude is kept constant, as discussed in connection with (3). This results in different wave intensities at the equator that can be computed by using (1) and (3) and a model of the distribution of the cold plasma along the field line. Figure 8 shows the wave intensities at 1000-km altitude and at the equator for the case of a diffusive equilibrium model of the plasma [Angerami and Thomas, 1964]. The equatorial intensities are not given for  $\Lambda > 0.5$ , since these waves do not reach the magnetic equator on that field line, as discussed in the preceding paragraph.

In the  $\Lambda < 0.5$  cases (Figure 7), the length of the precipitation pulse is shorter for  $\Lambda = 0.25$  and  $\Lambda = 0.1$  than for  $\Lambda = 0.5$ . This could be due to the fact that (1) the wave group delay for different frequencies is different and (2) that the energies of the particles that resonate with lower-frequency waves are higher and the particles thus travel faster. For the  $\Lambda = 0.25$  case, the group delay is not much different from that of the  $\Lambda = 0.5$  case. Therefore the decreased duration of the precipitation pulse is mainly due to the second effect cited above. For the  $\Lambda = 0.1$  case, although the group delay is larger, the second effect is large enough to make the precipitation pulse length shorter than for the  $\Lambda = 0.5$  case and comparable with the  $\Lambda = 0.25$  cases. We also note that the pulse length is longer for the  $\Lambda = 0.05$  case, for which the wave group delay is much longer.

Next we discuss the magnitude of the flux for  $\Lambda < 0.5$ . As frequency is decreased, there are two important factors that will determine the flux: (1) the increased energy of the near-resonant particles result in shorter effective interaction lengths and thus less scattering [Inan et al., 1978], and (2) the energy flux is proportional to energy, and thus a given number of scattered particles at a higher energy corresponds to more energy flux. From Figure 7, the fact that the peak flux for the  $\Lambda = 0.25$  case is somewhat higher than for  $\Lambda = 0.5$  indicates that the second effect is more important at  $\Lambda = 0.25$ , whereas the results for  $\Lambda = 0.1$  and  $0.05$  indicate that the first effect becomes dominant as frequency is further reduced.

The precipitation pulse changes into a double-peaked curve for  $\Lambda = 0.1$  and  $0.05$ . These results are a function of the energetic particle distribution function, namely  $n$ , in (5) above. The case shown in Figure 7 is for  $n = 6$ . The dependence

of the peak flux on  $n$  will be discussed further in the next section.

Another factor contributing to the reduced peak flux for the  $\Lambda = 0.1$  and  $0.05$  cases is the fact that the arrival time convergence effect is reduced as a result of the increased energies of the near-resonant particles. This is evident from Figure 7, where we note that there is much less overlap between the arrival times of the particles that meet the wave front at different latitudes.

We now discuss the  $\Lambda > 0.5$  cases that are shown in Figure 7. For reference, it should be noted that the points where locally  $f = f_H/2$  for the  $\Lambda = 0.75$  and  $1.0$  cases are geomagnetic latitudes  $\lambda = 17^\circ$  and  $23^\circ$ , respectively. The wave-particle interaction is thus assumed to be terminated when the wave tail crosses these latitudes. Note here that since the wave pulse does not reach the magnetic equator on this field line, the shape of the precipitation pulse is different than those for  $\Lambda < 0.5$ . The smooth tail end of the precipitation pulse is due to the fact that after the wave front crosses the  $f = f_H/2$  point, the effective pulse length (and thus the interaction length) seen by the particles continually decreases as the wave tail approaches the same point. There exists no sharp peaks since the arrival time convergence effect is not present. The maximum (peak) flux is lower than that for  $\Lambda = 0.5$ . Another reason for the decreased flux is the fact that the wave-particle interactions occur away from the equator, where the interaction lengths and thus the total particle scatterings are reduced to the increased inhomogeneity. [Inan et al., 1978]. For the results of Figure 7, the peak flux corresponds to the interactions with particles that enter the wave front closest to the local  $f = f_H/2$  point. As frequency is further increased the peak flux continues to decrease, as would be expected.

The total integrated energy density for the cases shown in Figure 7 is given in Figure 9 for reference.

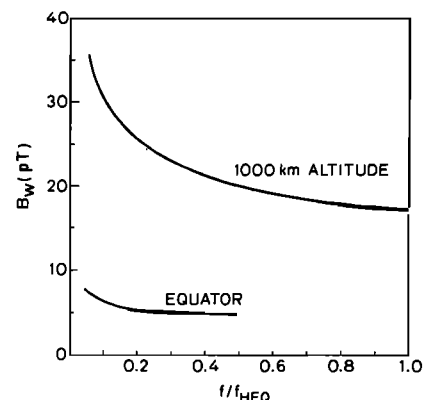


Fig. 8. Wave magnetic field intensity at 1000-km altitude and at the magnetic equator for a constant power input  $P$  at 1000-km altitude. This result is computed by using (3) and a diffusive equilibrium model of the cold plasma density. The power input is taken to be such that the wave intensity at the equator for  $L = 4$ ,  $n_{eq} = 400$  e1/cc, and  $\Lambda = 0.5$  is 5 pT. The variation of  $B_w$  with  $\Lambda$  is independent of  $L$  value. The equatorial intensities for  $\Lambda > 0.5$  cases are not shown.

3.3. The Role of  $f(v, \alpha)$

The results shown in Figures 5 and 7 are for an energetic particle distribution function, as given in (5), with  $n = 6$ . In order to show the effect of the spectral composition of the trapped particle distribution on the precipitated flux, we show in Figure 10 precipitated flux versus time for  $n = 4, 6,$  and  $8$ . For this we have used  $\Lambda = 0.5, PL = 400$  m s, and  $B_w = 5$  pT at  $L = 4$  and  $n_{eq} = 400$  el/cc. The differential energy spectrum for which the distribution in (5) is normalized was taken to be  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV for particles with  $90^\circ$  pitch angle at the equatorial resonant energy  $E = 0.372$  keV rather than at 1 keV, as was the case for Figure 5. This renormalization is necessary in order to more clearly see the relative effects of the off-equatorial interactions as a function of  $n$ .

We observed from Figure 10 that for  $n = 4$  the precipitation pulse is double-peaked (also note the difference in scale). This is due to the fact that the precipitated energy flux is proportional to  $v^{5-n}$  (as will be established in the following section) and that therefore for this case the flux due to electrons that resonate at higher latitudes (thus having higher energy) is larger than that from the ones that resonate at the equator. Consequently, the wave pulse as it approaches the magnetic equator induces more precipitated energy flux, thus accounting for the early peak around  $t = 1.0$  s after transmission. As  $n$  increases the available number of high-energy particles decreases and this effect disappears as expected. Also note that as  $n$  increases above 6 the value of the peak flux at  $t = 3.8$  s also decreases, since this peak is due to the superposition of particles that have interacted with the wave front at latitudes above the equator. This is evident from the energy versus time curve given in Figure 7 for  $\Lambda = 0.5$ , which corresponds to the case in Figure 10. Note that this curve is independent of  $n$ , since it shows the energies that have a finite contribution to the flux at any given time.

The fact that the shape of the precipitation pulse depends on the energetic particle distribution can have important implications. If controlled precipitation of energetic particles from the magnetosphere can be achieved, this information can possibly be used as a diagnostic tool for ground-based studies of the details of

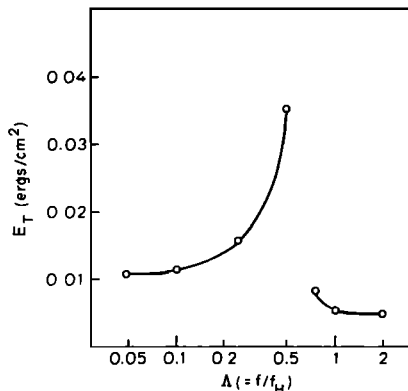


Fig. 9. Total deposited energy versus  $\Lambda$  for the cases shown in Figure 7.

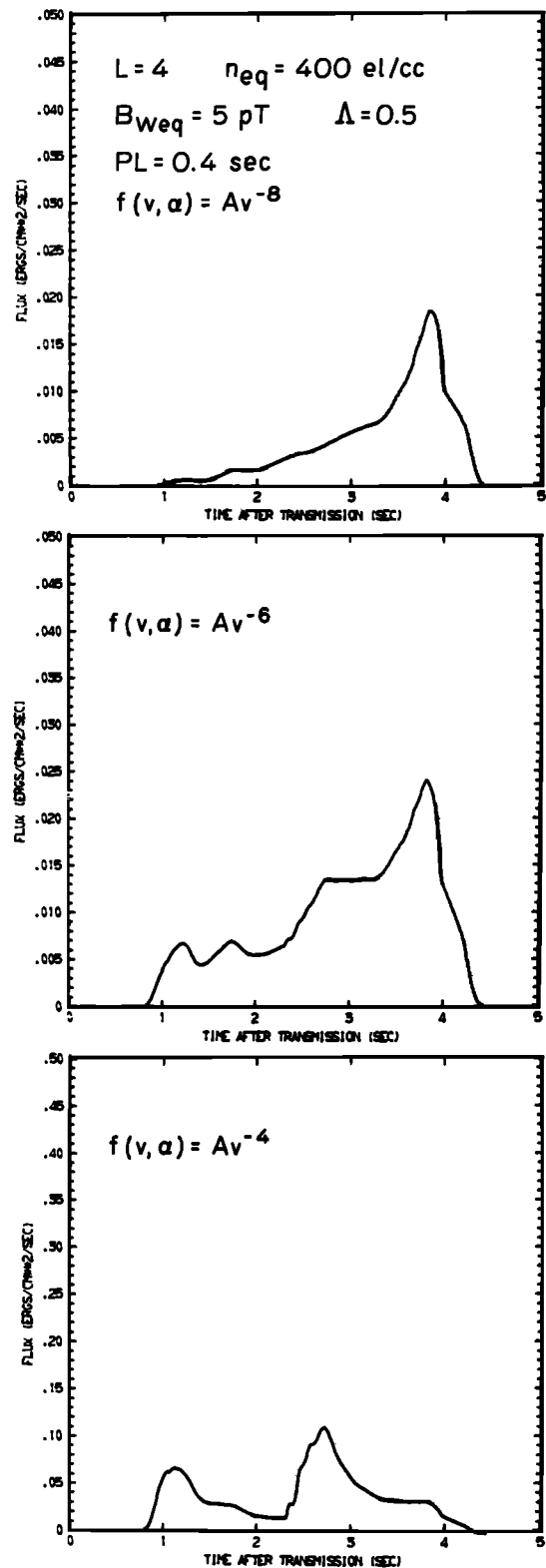


Fig. 10. Precipitated energy flux versus time for different values of the distribution function exponent  $n$ . Note that the vertical scale is different for the bottom panel. The energy versus time curves for all three cases is identical to that shown in Figure 3. The differential energy spectrum for these cases was taken to be  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV for electrons with  $E = 0.372$  keV (equatorial resonant energy at  $L = 4$  and  $n_{eq} = 400$  el/cc).

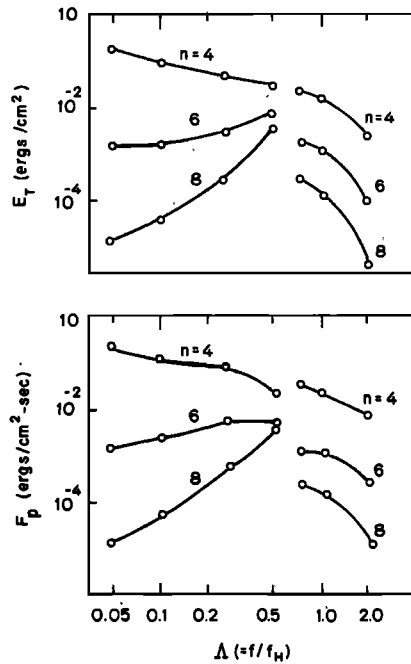


Fig. 11. Total deposited energy  $E_T$  and peak precipitation flux  $F_p$  as a function of  $\Lambda$  for three different values of  $n$ . All other parameters have the same value as in Figure 10.

the energetic particle distribution near the loss cone. It is also evident from Figure 10 that the total integrated energy density is also larger for smaller  $n$ , another factor that can possibly be useful in ground-based diagnostics.

Having established the parameters that control the temporal variation of the precipitated flux, we now focus our attention on a few parameters that approximately characterize the flux. These

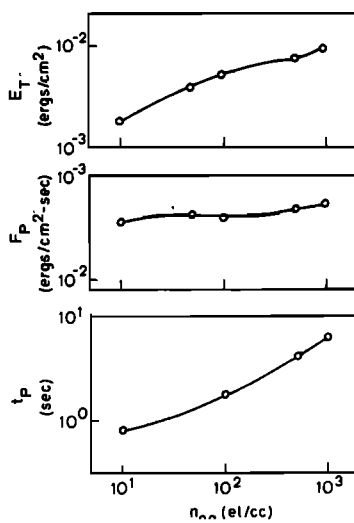


Fig. 12. Total deposited energy  $E_T$ , peak precipitation flux  $F_p$ , and the arrival time of the peak flux  $t_p$  as a function of  $n_{eq}$ . This result is computed for  $L = 4$ ,  $\Lambda = 0.5$ ,  $B_w = 1$  pT (at the equator),  $n = 6$ , and  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV for  $E = 1$  keV.

are (1) peak flux, (2) integrated energy density, and (3) occurrence time of the peak.

#### 4. Peak Flux and Total Deposited Energy

The result shown in Figure 10 was for  $\Lambda = 0.5$ . Those given in Figure 11 demonstrate the role of the distribution function exponent at other frequencies. Here, we have not shown the details of the time variation of the fluxes; instead we have chosen two parameters that characterize the flux versus time curves similar to those of Figure 10. These are (1) the peak value of the flux  $F_p$  and (2) the integrated energy deposition (or total deposited energy)  $E_T$  (ergs/cm<sup>2</sup>). The latter quantity conveys information on the 'equivalent width' of the precipitation pulse. Figure 11 shows these two quantities for normalized frequencies  $\Lambda = 0.05-2.0$  and for wave intensity of  $B_w = 1$  pT at the equator for  $\Lambda \leq 0.5$  and at the  $f = \frac{1}{2}f_H$  point for  $\Lambda > 0.5$ . All other parameters are the same as those of Figure 10. The curves for  $\Lambda < 0.5$  and  $\Lambda > 0.5$  are shown as separate segments since for the  $\Lambda > 0.5$  case the wave does not reach the magnetic equator, resulting in an abrupt reduction in peak flux, as discussed in connection with Figure 7. The results of Figure 11 can be understood in light of the earlier discussions of Figures 7 and 10. For  $n = 4$  and  $\Lambda < 0.5$ , both  $F_p$  and  $E_T$  increase with decreasing frequency, while they decrease for the case of  $n = 6$  and  $8$ . This indicates that the energy flux is proportional to approximately  $v^{5-n}$ . For  $\Lambda > 0.5$ , the resonant particle energy increases with frequency; however, both  $F_p$  and  $E_T$  continue to decrease for  $n = 4, 6, \text{ and } 8$ . This arises from the fact that for  $\Lambda > 0.5$  the peak interaction takes place further away from the equator for higher frequencies, resulting in less efficient interaction and less flux.

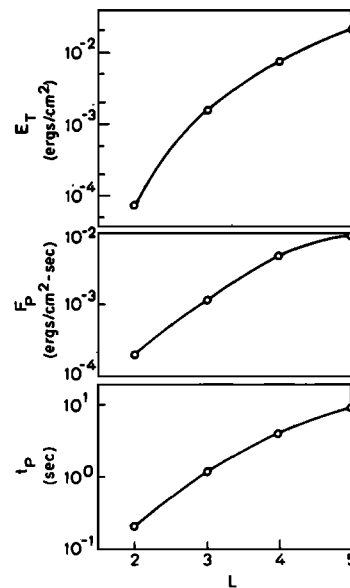


Fig. 13. Total deposited energy  $E_T$ , peak precipitated flux  $F_p$ , and the arrival time of the peak flux  $t_p$  as a function of  $L$  value. This result is computed for  $n_{eq} = 400$  el/cc, with all other parameter values being the same as in Figure 12.

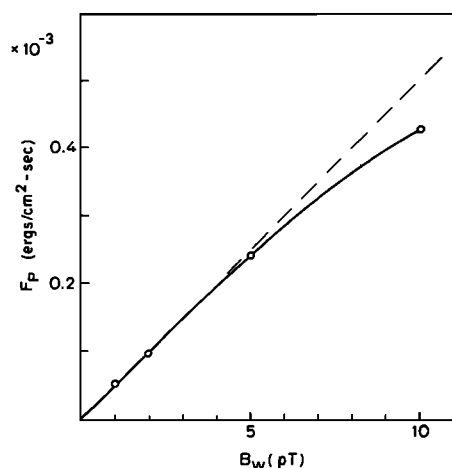


Fig. 14a.

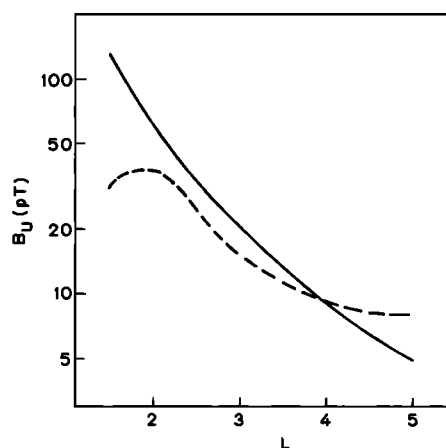


Fig. 14b.

Fig. 14. (a) Peak precipitation flux  $F_p$  as a function of the wave intensity at the equator  $B_w$ . The result shown is for  $n_{eq} = 400$  el/cc; all other parameter values being the same as in Figure 12. (b) Upper limit on the wave intensity  $B_u$  as a function of  $L$  value.  $B_u$  is computed by setting the parameter  $\rho = 1$ , where  $\rho$  is defined by Inan et al. [1978] and represents the ratio of the wave and inhomogeneity forces for equatorial interactions. The solid line shows  $B_u$  computed by assuming  $n_{eq} = 400$  el/cc at all  $L$  values. The dotted line shows  $B_u$ , where  $n_{eq}$  is taken to be as given in Figure 16.  $B_u$  is proportional to  $n_{eq}^{2/3}$ .

#### 4.1. Dependence on $n_{eq}$ and $L$

Next we discuss the dependence on cold plasma density  $n_{eq}$ , which determines the resonant energy through (2) and (6). Figure 12 shows  $E_T$ ,  $F_p$ , and the arrival time of the peak flux  $t_p$  as a function of  $n_{eq}$  at  $L = 4$ , for  $\Lambda = 0.5$ ,  $B_w = 1$  pT (at equator) and  $n = 6$ . The differential energy spectrum was taken to be  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV for particles with  $\alpha = 90^\circ$  and  $E = 1.0$  keV. We have used a diffusive equilibrium model for the cold plasma density for all values of  $n_{eq}$ . This should be a good assumption in all cases except perhaps for  $n_{eq} = 10$  el/cc; however, calculations with a collisionless model for this value have resulted in less than 10% difference, which is hardly noticeable on the scale shown. The results of Figure 12 can be understood by noting that the wave group velocity and the resonant particle velocity are proportional to  $n_{eq}^{1/2}$ . Hence the decrease with  $n_{eq}$  of the arrival time of the peak of the precipitation pulse is as expected. The decrease with  $n_{eq}$  in  $E_T$  indicates that the duration of the precipitation pulse decreases for the same reason. This is due to the combined effect of arrival time convergence, scattering efficiency, and the energy range of the particles that contribute to the peak.

We have up to now shown results for  $L = 4$ . In order to show the dependence on  $L$  value, we present in Figure 13 the computed variation of flux as a function of  $L$ . Here we have kept  $n_{eq} = 400$  el/cc at all  $L$  values. All other parameters have the same values as those for Figure 12. We see that  $E_T$ ,  $F_p$ , and  $t_p$  all decrease rapidly with decreasing  $L$ . This is due to the fact that the static magnetic field along a given field line changes more rapidly with distance at lower  $L$  values, thus resulting in decreased interaction lengths. The total deposited energy at

$L = 2$ , for instance, is more than two orders of magnitude smaller than that at  $L = 5$  for the same parameters.

#### 4.2. Dependence on Wave Intensity

For parameter values similar to those used in this paper, it was demonstrated by Inan et al. [1978] that the root mean square scattering of individual particles at  $L = 4$  was proportional to wave magnetic field intensity for  $B_w < 10$  pT. To demonstrate the same in terms of the total and peak energy flux, we show in Figure 14a computed values  $F_p$  as a function of  $B_w$ . The result indicates that these quantities are linearly proportional to  $B_w$  for  $B_w < 10$  pT, with the deviation from linearity at  $B_w = 10$  pT being about 10%. This fact is useful in terms of the computations since it means that results of test particle simulations for a specific  $B_w$  can be scaled up or down to obtain the flux values at other values of  $B_w$ , as long as  $B_w < 10$  pT. It should be noted that since the inhomogeneity factor is stronger at lower  $L$  values the range of  $B_w$  values for which linearity holds is wider [Inan et al., 1978]. This is shown in Figure 14b, where we plot the upper limit  $B_u$  on wave intensity, below which the flux is roughly proportional to  $B_w$  as a function of  $L$  value. The solid line shows  $B_u$  computed for  $n_{eq} = 400$  el/cc,  $\Lambda = 0.5$ , and for a threshold of linearity defined as  $\rho = 1$ , where  $\rho$  is the ratio of the wave and inhomogeneity terms as defined in Inan et al. [1978]. It can be shown that  $B_u$  is proportional to  $n_{eq}^{2/3}$ . The dotted line shows  $B_u$  again for  $\Lambda = 0.5$  and  $\rho = 1$ , but with  $n_{eq}$  varying with  $L$  shown in Figure 16.

In this paper and in the following figures we confine our attention to those cases where  $B_w < B_u$ . Note that this does not necessarily mean that individual particle trajectories are linear;

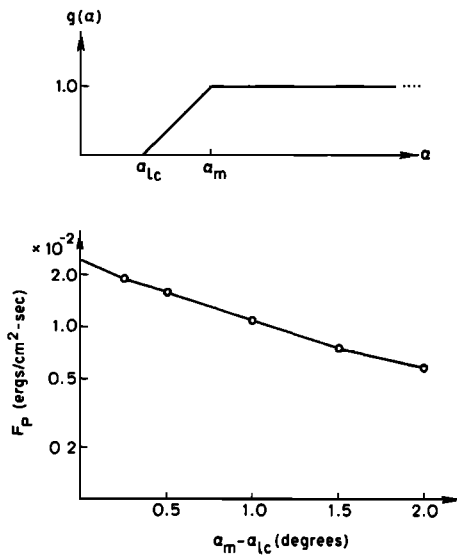


Fig. 15. Peak precipitated flux  $F_p$  as a function of the loss cone anisotropy.

this does indicate, however, that trapped particles constitute a small fraction of the total number of particles in the simulation. The trajectories of all test particles are accurately computed by solving the full equations of motion, regardless of their being trapped or nontrapped in the wave's potential well.

#### 4.3. Effect of Anisotropy of $f(v, \alpha)$

For most of the results presented in this paper we use an isotropic energetic particle distribution with  $g(\alpha) = 1$  with an abrupt loss cone. For an anisotropic distribution peaked around  $\alpha = 90^\circ$ , the precipitated flux values can be obtained from those given by simple scaling as long as  $g(\alpha)$  is flat for a few degrees above the loss cone [Inan et al., 1978]. In this case the flux values would be reduced by a factor of  $g(90^\circ)/g(\alpha_{1c})$ , where  $\alpha_{1c}$  is the half-angle of the loss cone.

In cases of strong anisotropy in the vicinity of the loss cone, such scaling would not be valid. Figure 15a shows the vicinity of the loss cone where we have assumed a linear variation of  $g(\alpha)$  with  $\alpha$ . The parameter that defines this variation is  $\alpha_m - \alpha_{1c}$ , where  $\alpha_{1c}$  is fixed. Figure 15b shows the computed peak flux as a function of this parameter. All other parameters are taken to be the same as those of Figure 3. The peak flux approaches the value of  $2.5 \times 10^{-2}$  ergs/cm<sup>2</sup> s as  $\alpha_m$  goes to  $\alpha_{1c}$ , i.e.,  $g(\alpha)$  approaching the abrupt variation used in the earlier results. The flux is reduced by an order of magnitude for  $\alpha_m - \alpha_{1c} \approx 4^\circ$ .

It should be noted that for most of the cases considered in this paper, including those at different L values, the precipitated flux is comprised of particles that have initial pitch angles within a few degrees of the loss cone. Thus behavior similar to that shown in Figure 15 can be expected over a wider range of the parameters.

#### 5. A Case Study

Having established the dependence of precipitation on various parameters, in this section we

apply our formulation to a realistic magnetospheric case. We consider a diffusive equilibrium model of the cold plasma with an equatorial cold plasma density profile as shown in Figure 16, starting with 3000 el/cc at  $L = 1.5$  and gradually decreasing to 400 el/cc at  $L = 4$ , the density value that was used in the above results. For  $L = 5$ , we have considered two cases: an inside-plasmapause case of  $n_{eq} = 200$  el/cc (as shown in Figure 16) and an outside-plasmapause case of 10 el/cc. For the latter, we have used a collisionless model of the cold plasma density [Angerami, 1966].

The energetic particle distribution was taken to be the same at all L values, with  $g(\alpha) = 1$ ,  $\Phi_E = 10^9$  el/cm<sup>2</sup> s sr keV at  $E = 1$  keV and  $\alpha = 90^\circ$  and  $n = 6$ . This does not necessarily represent a general case; however, since precipitated flux is proportional to  $\Phi_E$ , results for any specific case can easily be inferred from the ones given below. Furthermore, results for three different values of  $n$  are given as a function of L at  $\Lambda = 0.5$  in Figure 19, thus making it possible to infer results for those cases where the energy dependence of the particle distribution, as well as the flux level, changes with L value.

The computed  $E_T$  and  $F_p$  are shown in Figure 17. We have given results for  $L = 5, 4, 3, 2$ , and  $1.5$ . In all cases the wave magnetic field intensity at the equator was taken to be 1 pT and wave pulse length was taken to be 400 m s. The format is similar to that of Figure 11, in fact the  $L = 4$  cases of Figure 17 are identical to the  $n = 6$  cases of Figure 11. The dotted lines in Figure 17 indicate the cases where the resonant particle energies are in the relativistic range ( $>150$  keV). Since we have not included the relativistic correction in the equations of motion of the particles, these values are to be considered approximate.

It is interesting to note that the values given in Figure 17 represent the end result of the combined effects of varying inhomogeneity ( $d\omega_H/dz$ ), cold plasma density ( $n_{eq}$ ), and refractive index ( $\mu = kc/\omega$ ) as we vary L at a fixed  $\Lambda$  or vice-versa. The results could be markedly different if  $\Phi_E$  or  $n$  is allowed to change with L value.

In order to see the effect of the plasmapause, we compare the  $n_{eq} = 200$  and 10 el/cc cases at

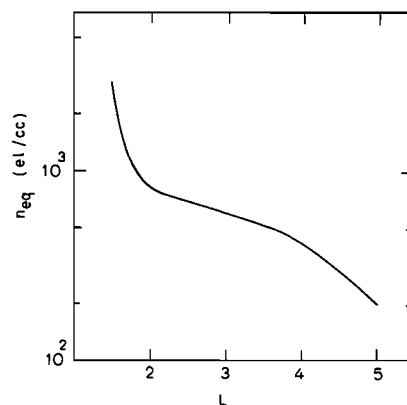


Fig. 16. Equatorial cold plasma density model used for the case study results shown in Figures 17, 18, 19, and 20.



$L = 5$ , as shown in Figure 19. The result indicates that for these parameters and at  $\Lambda = 0.5$ , the peak flux  $F_p$  is less for the low-density case by about a factor of 2. It should be noted, however, that the peak flux for a typical inside-plasmapause case (at  $L = 4$  and  $n_{eq} = 400$  el/cc) and outside-plasmapause case (at  $L = 5$  and  $n_{eq} = 10$  el/cc) are about equal. The total energy  $E_T$  is seen to be reduced by a factor of 3 or 4, due mainly to the fact that the increased group velocity results in a precipitation pulse of shorter duration. This effect was also apparent in Figure 12.

The results in Figure 17 are for a distribution with  $n = 6$ . Figure 18 shows the dependence on  $n$  for the case of  $\Lambda = 0.5$ .

The results shown in Figure 17 can be used to obtain the value of the peak precipitation flux induced by a monochromatic wave of given frequency as a function of  $L$  value. Figure 20 shows the results for three frequencies,  $f = 5, 10.2,$  and  $17.8$  kHz, representing the typical operational frequencies of the Siple (SI) (Antarctica), Omega, North Dakota (ND), and the Cutler (NAA) (Maine) VLF transmitters, respectively. The values shown are obtained directly from the lower panel of Figure 17 and thus correspond to equatorial wave magnetic field intensities of  $B_w = 1$  pT. For the cold plasma model of Figure 16 and for a constant value of  $\Phi_E$  and  $n$  with  $L$  as assumed, the result defines the optimum  $L$  shell for observing

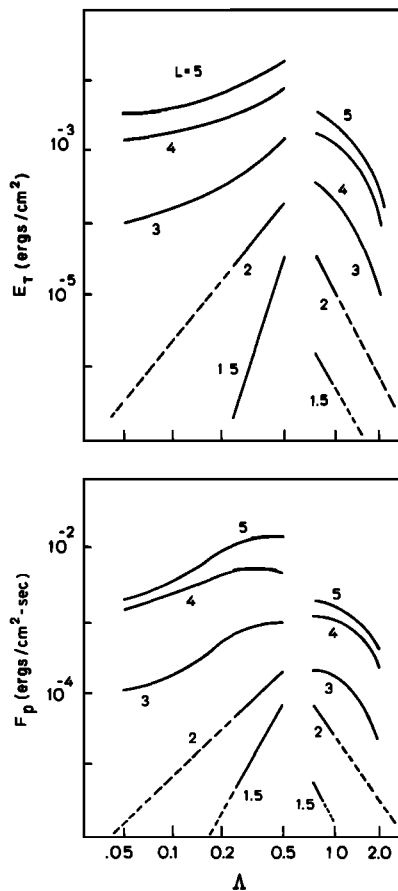


Fig. 17. Total deposited energy  $E_T$  and peak precipitated flux  $F_p$  as a function of normalized frequency  $\Lambda$  for  $L = 1.5, 2, 3, 4,$  and  $5$ .

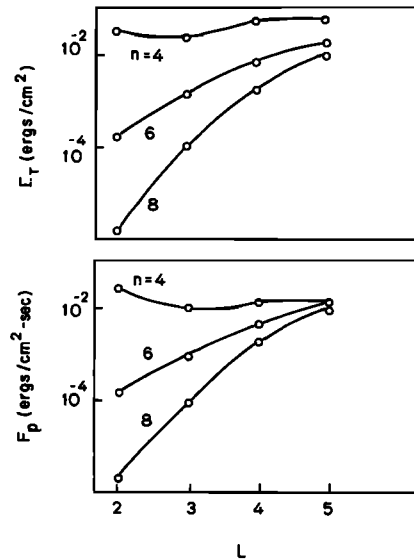


Fig. 18. Total deposited energy  $E_T$  and peak precipitated flux  $F_p$  as a function of  $\Lambda$  for  $L = 5$ . All other parameters having the same value as in Figure 17; the solid lines are for the case where  $n_{eq} = 200$  el/cc and the dotted lines represent the case of  $n_{eq} = 10$  el/cc.

precipitation at each frequency. For SI the optimum  $L$  shell is  $L = 4$ , whereas for NAA it is in the range of  $L = 3-4$ . For ND, the flux is more or less constant over  $L$  shells of 3-5.

For the specific cold plasma model of Figure 16, the energies of the particles that constitute the fluxes shown in Figures 17 and 18 are a function of  $L, n_{eq},$  and  $\Lambda$ . The flux at any time is comprised of particles having a range of energies as is indicated by the lower panel of Figure 3. Figure 21 shows the lowest particle energies that comprise the peak fluxes shown in the lower panel of Figure 17. The energy spectra of the particles that comprise the peak is shown in Figure 22 for the two extreme cases of  $L = 2$  and  $L = 5$ , both for  $\Lambda = 0.5$ . It can be seen that the maximum contribution to the flux comes from the lower-energy end of the spectra.

## 6. Summary and Conclusions

We have computed the electron flux precipitated out of the magnetosphere by relatively short VLF wave pulses under a broad range of magnetospheric conditions. The results clearly demonstrate the role of the energetic particle distribution function  $f(v, \alpha)$ , the normalized wave frequency  $\Lambda$ ,  $L$  value of the path of propagation, cold plasma density  $n_{eq}$ , and wave intensity  $B_w$ . The precipitated energy flux in  $\text{ergs/cm}^2 \text{ s}$  that would be observed at 1000-km altitude is presented as a function of time relative to the injection of the wave pulse at the same point. The energy range of the downcoming particles that constitute the flux is also shown. In this section we summarize our results and assumptions in relation to (1) the assumed wave structure, (2)  $L$  value and cold plasma density, (3) the energetic particle distribution function, and (4) detectability of the precipitated flux by existing instruments.

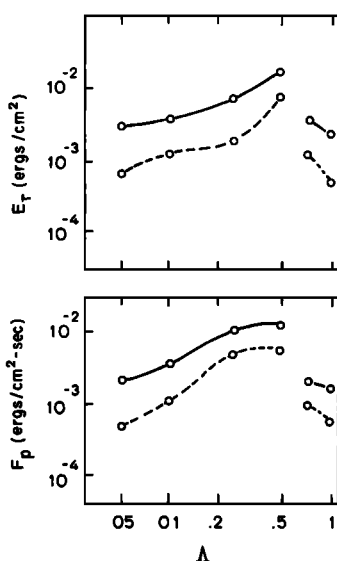


Fig. 19. Total deposited energy  $E_T$  and peak precipitated flux  $F_p$  as a function of  $L$  value for  $\Lambda = 0.5$ . All other parameters being the same as in Figure 17, results for the distribution function exponent  $n = 4, 6,$  and  $8$  are shown.

### 6.1. The Wave Structure

We have considered the case of a monochromatic, longitudinally propagating whistler mode wave pulse as described in (1). As discussed in section 2.1 we have computed the particle scatterings by using a pre-defined wave structure. It should be noted that when temporal growth of the wave occurs [Helliwell and Katsufakis, 1974], then the wave intensity can be expected to increase as the wave crosses the equator. Since the temporal growth region is expected to be within a few degrees of the equator, the precipitated energy flux for such a case can be estimated by using our results that are computed by assuming a uniform wave amplitude distribution. The peak flux values given in the preceding figures will not be significantly affected, since the peak flux is mostly due to contributions from particles that resonate with the wave after it crosses the equator. The shape of the precipitated flux versus time curves will change, but this change will be in a predictable manner, essentially amounting to a scaling down of the flux at the times when the contributions come from particles that resonate with the wave before it crosses the magnetic equator.

In addition, we have assumed sharp front and tail ends for the wave packet. We believe that this assumption is well justified, however, since even though dispersion effects will tend to produce a smoother front and tail structure, the resulting rise times will still be much shorter than typical wave-particle interaction times [Inan et al., 1978; Chang and Helliwell, 1980].

With these assumptions the monochromatic wave packet is uniquely defined by its center frequency, pulse length  $PL$ , and wave intensity as given in (1). The dependence of the particle scattering on wave frequency is demonstrated in Figures 7, 11, 17, 19, 20, and 21. In general, the

energy flux precipitated by a wave of given intensity  $B_w$  increases with wave frequency for  $\Lambda = f/f_{Heq} < 0.5$  and decreases with wave frequency for  $\Lambda > 0.5$ . The energy of the particles that are near-resonant and that therefore are precipitated increases with decreasing frequency defined by (6).

The results shown in Figures 5 and 6 demonstrate the effect of wave pulse length  $PL$ . While the total deposited energy  $E_T$  increases with  $PL$  as expected, the peak flux remains approximately constant as  $PL$  is increased beyond a minimum value, which for  $L = 4$  is about 400 m s. This result is important in the context of wave-injection experiments since it indicates that if the wave-induced precipitation is to be detected by techniques that respond to rapid variations in precipitation flux, (i.e., photometers, satellite detectors) then transmissions can be made at this minimum pulse length with high peak power levels. This consideration would be especially important for satellite-based VLF wave sources.

We have discussed the dependence on wave intensity in connection with Figure 14a. The precipitated flux is linearly proportional to  $B_w$  for values of  $B_w$  less than the upper limit  $B_u$ , as given in Figure 14b. The value of  $B_u$  is a function of  $L$  value, again as shown in Figure 14b. The typical unamplified intensities of manmade VLF transmitter signals in the magnetosphere would fall in the linear range [Inan et al., 1977; Bell et al., 1981]. However, after amplification and triggering, as often observed, the signals' levels would typically be closer to or higher than  $B_u$ . For natural emissions, such as VLF chorus, measured wave signal intensities are in the range of 1-100 pT [Burtis and Helliwell, 1975], and thus on occasion are larger than  $B_u$ . The results presented in this paper can be directly scaled up or down for estimating the flux levels precipitated by VLF wave pulses of intensity less than the  $B_u$  values given in Figure 14b.

Figure 8 shows the wave intensity at the equator for different values of  $\Lambda$  and for the same power density input at 1000-km altitude. The variation of  $B_w$  with  $\Lambda$  is independent of  $L$  value and is proportional to  $n_{eq}^2$ . This indicates that for wave injection experiments directed at pre-

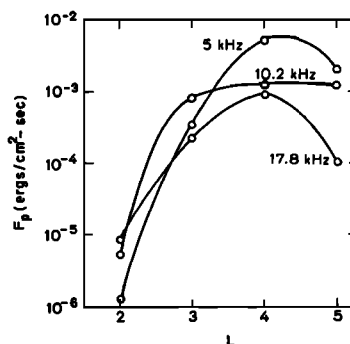


Fig. 20. The peak precipitated flux  $F_p$  versus  $L$  value for three different frequencies; 5 kHz representing typical operational frequency of the Siple transmitter (SI), 10.2 kHz representing the Omega, North Dakota (ND) transmitter, and 17.8 kHz representing the NAA transmitter in Cutler, Maine.

precipitating particles, operation at higher frequencies and higher  $n_{eq}$  is desirable for achieving higher signal intensities at the equator for the same total power input. Note, however, that this consideration must be weighed against the higher precipitation efficiency at higher L values (lower  $n_{eq}$ ), as shown in Figure 17.

While we have limited our discussion in this paper to the case of monochromatic waves, the test particle simulation of the wave-particle interaction is currently being extended to cover the cases of interactions with waves of slowly varying frequency. This extension will enable us to predict more accurately the precipitation levels that would be induced by natural signals and triggered emissions. The results of this study will be reported later.

6.2. L Value and Cold Plasma Density

The dependence of the precipitation on these parameters were illustrated in Figures 12, 13, 17, 18, 19, and 21. In general, for typical cold plasma density distributions in the magnetosphere, the wave-induced precipitation flux is larger at higher L shells and for higher  $n_{eq}$ . Figure 20 attempts to identify the optimum L shell for observing precipitation induced by a wave of a given frequency. One must be careful in applying this criterion, however, since the results given assume that the energetic particle distribution  $f(v, \alpha)$  and the differential energy spectrum  $\Phi_E$  is the same at all L values. This would not be the case in general. The role of the distribution function is separately discussed below. The relative flux levels at different L shells would also depend on the cold plasma distribution since this determines the energies of the near-resonant particles and therefore the efficiency of the wave-particle interaction [Inan et al., 1978].

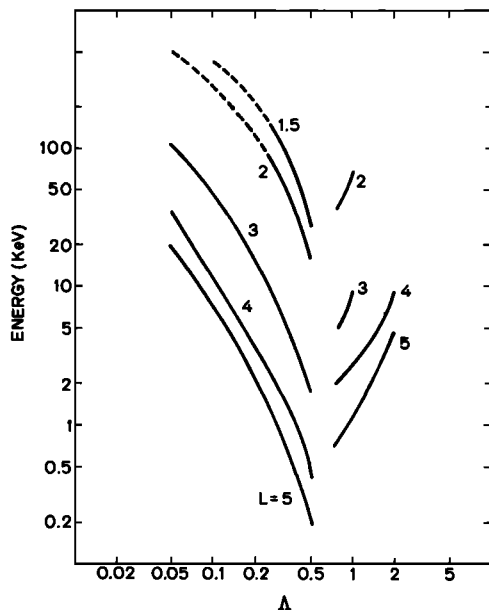


Fig. 21. The minimum energy of particles that comprise the peak fluxes shown in Figure 17, given as a function of  $\Lambda$  for different values of L.

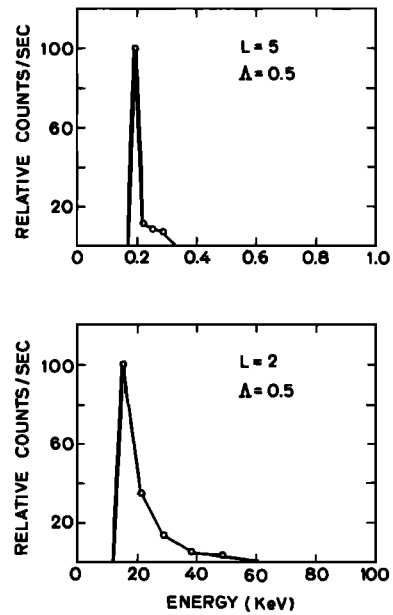


Fig. 22. The energy spectra corresponding to the peak fluxes for  $\Lambda = 0.5$  and for L = 2 and 5.

For the case study (see Figure 16) discussed above, we have found that the peak flux inside (e.g., L = 4,  $n_{eq} = 400$  e1/cc) and outside the plasmapause (e.g., L = 5,  $n_{eq} = 10$  e1/cc) are about equal. However, the energies of the downcoming particles that constitute the flux are much larger for the latter case.

6.3. The Energetic Particle Distribution  $f(v, \alpha)$

We have considered initial trapped particle distribution functions that are defined by (4) and (5). By proper choice of  $g(\alpha)$ , n and  $\Phi_E$ , one can match the function defined by (5) to most observed energetic particle distributions in the magnetosphere [Schield and Frank, 1970; Anderson, 1976].

For most of the results presented in this paper we have used an isotropic distribution for which  $g(\alpha) = 1$ . For anisotropic distributions peaked around  $\alpha = 90^\circ$ , and for which  $g(\alpha)$  is flat for a few degrees above the loss cone, the flux values would be reduced by a factor  $g(90^\circ)/g(\alpha_{lc})$ , where  $\alpha_{lc}$  is the half-angle of the loss cone [Inan et al., 1978]. The case of distribution functions with anisotropy in the vicinity of the loss cone was discussed in Figure 15.

We have given results for n = 4, 6, and 8. The dependence of precipitation on this parameter is discussed in connection with Figures 10, 11, and 19. We have shown in Figure 10 that the characteristic shape of the precipitation pulse is a function of n. This result is important because it indicates that if controlled precipitation of energetic particles from the magnetosphere can be achieved, the observed temporal behavior of the flux can possibly be used for diagnosing the details of the energetic particle distribution function. It should be noted, however, that the variation of the wave amplitude across a given duct, and also the spatial amplitude variations due to wave-growth effects may

TABLE 1. Minimum Detectable Precipitated Energy Flux

Detector	Precipitated Energy Flux Threshold/ ergs/cm <sup>2</sup> -s	Reference
Human eye at 5577 Å	5.0	Omholt [1971]
Photography	2.5	Jones [1974]
Satellite and rocket particle detector (scintillation type)	$3.8 \times 10^{-3}$	Hargreaves and Sharp [1965]
Photometer 4278 Å	$2.5 \times 10^{-3}$	S. B. Mende (private communication, 1981)
HF Sounder kinesonde phase	$10^{-3}$	J. H. Doolittle (private communication, 1981)
Balloon x rays (scintillation detector)	$5 \times 10^{-3}$	Rosenberg et al. [1971b]
Riometer, 30 MHz	$2.5 \times 10^{-3}$	Rosenberg et al. [1971]; Hargreaves and Sharp [1965]
SEEP satellite particle detector	$10^{-4}$ - $10^{-5}$	W. L. Imhof (private communication, 1981)

tend to degrade the sensitivity of this diagnostic method.

The precipitated energy flux values given in this paper are directly proportional to the differential energy spectrum of the trapped energetic particles, i.e.,  $\Phi_E$ . For most of the results presented we have used  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV, representing the differential energy spectrum of  $E = 1$  keV particles at  $\alpha = 90^\circ$  pitch angle. Furthermore, we have assumed no variation of this quantity with L value.

The value that we have used for  $\Phi_E$  is typical of the flux levels outside and just inside the plasmopause during geomagnetically disturbed times [Schield and Frank, 1970; Anderson, 1976]. Flux levels observed during quiet times are in the range of  $10^6$ - $10^8$  el/cm<sup>2</sup> s sr keV [Lyons and Williams, 1975]. Recent measurements on the Dynamics Explorer satellite indicate that the flux levels are highly variable with L shell, geomagnetic conditions, and local time but agree with typical levels of  $10^6$ - $10^8$  el/cm<sup>2</sup> s sr keV [D. Winningham, private communication, 1982].

It should also be noted that the relative magnitudes of the precipitated fluxes at different L shells as given in Figures 13, 17, 19, and 21 are based on an assumed constant value of  $\Phi_E$  with L. Because of the highly variable nature of  $\Phi_E$  we have chosen to present our results with this assumption. For any realistic variation of  $\Phi_E$  as a function of L, the precipitated flux values can be obtained from the results given by proper scaling.

#### 6.4. Detectability of the Wave-Induced Precipitated Flux

For the assumed values of the parameters (including  $\Phi_E$ ), we have computed and presented absolute levels of the precipitated energy flux. The results range from  $10^{-6}$ - $10^{-1}$  ergs/cm<sup>2</sup> s for particles of 0.3-100 keV. The detectability of this flux by existing instruments would depend on (1) the relative values of typical background drizzle-type of precipitation at these latitudes and (2) the sensitivity of the instruments.

The background precipitation levels at mid-latitude and the particle energies of interest (1-100 keV) are in the  $10^{-6}$ - $10^{-4}$  ergs/cm<sup>2</sup> s range, with the higher levels observed during geomagnetically disturbed times [Voss and Smith, 1980]. Thus the flux values that we have estimated are typically  $10^2$ - $10^3$  times larger than this background and should be detectable if the instruments have enough sensitivity.

The sensitivity of various existing techniques for detecting precipitation fluxes (or ionospheric effects produced by them) was recently reviewed [Doolittle, 1982]. The results shown in Table 1 are partly adopted from this work and summarize the minimum precipitated energy flux detectable by various techniques. For  $\Phi_E = 10^8$  el/cm<sup>2</sup> s sr keV, most of the flux values reported in this paper are detectable by these presently available instruments. It should be noted again that the flux values are proportional to  $\Phi_E$  as well as  $B_w$ . The results shown in Figure 17 are

for  $B_w = 1$  pT. For ground-based sources, the magnetic field intensity at the equatorial plane would depend on the radiated power, proximity of the injection point to the source, propagation conditions and availability of ducts, and the possible amplification of the signal due to gyroresonance interactions. As an example, the intensity of nonducted Siple transmitter signals (radiated power  $\sim 2$  kW) at the magnetic equator, and before amplification, was measured to be in the 0.1–0.3 pT range [Inan et al., 1977; Bell et al., 1981]. However, 30–40 dB amplification of the transmitter signals and triggering of emissions is often observed [Helliwell and Katsufra-  
kis, 1974].

The characteristic shape of the precipitation pulses might also be useful in detecting these events. Knowledge of the expected pulse shape might be used to 'match' the filter characteristics to the signal shape.

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